

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10598321	
	Filing Date		2006-08-24	
	First Named Inventor	RITZ		
	Art Unit			
	Examiner Name			
	Attorney Docket Number		DE040063	

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1	CHWALEK ET AL: "UPCONVERTING Tm3+ DOPED Ba-Y-Yb-F THIN FILM WAVEGUIDES FOR VISIBLE AND ULTRAVIOLET LIGHT SOURCES"; APPLIED PHYSICS LETTERS, AMERICAN INSTITUTE OF PHYSICS. NEW YORK, US; VOL. 66, NO. 4, 23 JANUARY 1995, PP. 410-412; XP012013192	<input type="checkbox"/>
2	FAVRE ET AL: "FABRICATION AND CHARACTERIZATION OF PLANAR AND CHANNEL WAVEGUIDES IN BISMUTH-BASED OXIDE GLASSES"; RARE-EARTH-DOPED MATERIALS AND DEVICES VII; 28-30 JAN. 2003, SAN JOSE, CA,USA, VOL. 4990 (2003), PP. 103-110; XP002325968.	<input type="checkbox"/>
3	HARWOOD ET AL: "A 1317NM NEODYMIUM DOPED FLUORIDE GLASS WAVEGUIDE LASER"; PROCEEDINGS OF 26TH EUROPEAN CONFERENCE ON OPTICAL COMMUNICATION 3-7 SEPTEMBER 2000, MUNICH, GERMANY; VOL. 2, 2000, PP. 191-192 VOL. 2; XP009046818.	<input type="checkbox"/>
4	LOUSTEAU ET AL: "FLUORIDE GLASS PLANAR WAVEGUIDES FOR ACTIVE APPLICATIONS"; MATERIALS SCIENCE AND ENGINEERING B105, ELSEVIER SEQUOIA, LAUSANNE, CH; VOL. 105, NO. 1-3, 15 DECEMBER 2003, PP.74-78; XP004518422.	<input type="checkbox"/>
5	FUJIHARA ET AL: "SOL-GEL PROCESSING OF LaF3 THIN FILMS"; JOURNAL OF THE CERAMIC SOCIETY OF JAPAN, VOL. 106, NO. 1, JANUARY 1998, PP. 124-126; XP009046810.	<input type="checkbox"/>
6	OHSAWA ET AL: "PREPARATION AND CHARACTERIZATION OF ZrF4-BaF2-LaF3-NaF-AIF3 GLASS OPTICAL FIBERS"; JOURNAL OF LIGHTWAVE TECHNOLOGY, VOL. LT-2M BI. 5, PP. 602-606.	<input type="checkbox"/>

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/R.J.KRAUS/	Date (YYYY-MM-DD)	2007-04-23
Name/Print	ROBERT J. KRAUS	Registration Number	26358

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